

City of San Antonio Ethics Review Board Annual Report – 2010

City Ordinance 88874, approved on November 19, 1998, created the Ethics Review Board, which met for the first time on April 30, 1999. A City Charter election held in May 2004, and the adoption of a City Campaign Finance Regulation ordinance in August 2004, necessitated the modification of the responsibilities and jurisdiction of the Ethics Review Board. A new ethics ordinance (City Ordinance 100283) was adopted by City Council on January 13, 2005. The Charter amendment formally dissolved the board created by the 1998 ordinance and established an independent board that can deliver binding rulings and impose sanctions and fines. The initial members of this new board were appointed in April 2005.

In the year 2010, the Board met as a body twice. There were no Complaints or Requests for Opinions, resulting in Determinations/Opinions rendered by the Board (see Attachment A). The City Attorney's office rendered ten Advisory Opinions (see Attachment B). (The complete text of each Determination and/or Opinion can be obtained from the Office of the City Clerk; the extracts provided in the attachments to this document are for information purposes only). A list of registered lobbyists – current as of December 10, 2010 – has been provided by the City Clerk (see Attachment D).

As an element of our annual report, the Ethics Review Board is charged to submit recommended changes to the Ethics Code and Campaign Finance Regulation. In June of 2009, City Council modified the Ethics Code, adopting all the changes proposed by the Board, the City Attorney's Office and the Office of the City Clerk in previous reports. As a result, this year there are few proposed changes/corrections to the Code. The majority of these changes are required to bring the Code in alignment with state or federal law, to enhance clarity or to make administrative corrections, and the Board recommends that they be approved and incorporated into the Code. There are also several substantive changes that are recommended that the Board feels would improve the Code and these are included in Attachment C for your consideration. (Red-lined copies of the Code and Campaign Finance Regulation are attached as Attachments F & G, with all proposed changes/corrections, both administrative and substantive.)

Ethics/Campaign Finance Training in 2010: In March of 2006, the San Antonio City Manager adopted a program of mandatory annual ethics training for all City employees. Refresher training will be performed biannually. Comprehensive training on the Texas Election Code, Municipal Campaign Finance Code and the city's electronic campaign finance filing system was presented by the Texas Ethics Commission, City Attorney's Office, Office of the City Clerk and ITSD in January. Ethics training was conducted at three Municipal Leadership Institute sessions. The City Attorney's Office also made twice-monthly ethics presentations at the mandatory new employee orientation. Additionally, other workshops and presentations on ethics were conducted by the City Attorney's Office in 2010 (see Attachment E).

The members of the Board believe that the Code and the ethics review process will continue to create affirmative change in city governance and in our citizens' perception of the same. The Board also feels that the mandatory ethics training for City employees conducted by the City Attorney's office has been very beneficial in reducing ethics violations and combined with the advisory opinions issued by the City Attorney's Office and the Ethics Review Board have resulted in increased awareness of and compliance with the City's Code of Ethics.

The Ethics Review Board Members – 2010

The following persons were confirmed by the City Council to serve on the Ethics Review Board for the terms indicated.

| <u>NAME</u> | <u>APPOINTED BY</u> | <u>DATE APPOINTED</u> | <u>DATE TERM EXPIRES</u> |
|----------------------------|-------------------------|---------------------------|------------------------------|
| Tomas A. Larralde | Mayor | May 5, 2005 | Apr. 25, 2010 |
| Steven G. Marquez | District 1 | Nov. 5, 2009 | Apr. 25, 2011 |
| Dolores Lott | District 2 | Apr. 14, 2005 | Apr. 25, 2011 |
| Michael S. Ariens** | District 3 | Jun. 16, 2005 | Apr. 25, 2012 |
| August Stephen Johnson | District 4 | Apr. 14, 2005 | Apr. 25, 2012 |
| Victor Guevera | District 5 | Feb. 4, 2010 | Apr. 25, 2011 |
| Richard Bird | District 6 | Apr. 14, 2005 | Apr. 25, 2012 |
| Elizabeth Benavides Melson | District 7 | Apr. 14, 2005 | Apr. 25, 2012 |
| Charles Saxer | District 8 | Dec. 4, 2008 | Apr. 25, 2011 |
| Arthur J. Downey* | District 9 | Apr. 14, 2005 | Apr. 25, 2011 |
| Ron C. Campos | District 10 | Nov. 19, 2009 | Apr. 25, 2011 |

* Chair

** Vice-Chair

Attachment A

Ethics Determinations – 2010

| Number | Date | Questions |
|--------|------|-----------|
|--------|------|-----------|

There were no complaints and thus no determination or opinions rendered this year by the Ethics Review Board.

Attachment B

| Ethics Advisory Opinions – 2010 (City Attorney’s Office) | | |
|---|--------------------|---|
| Number | Date | Questions |
| 10-01 | February 2, 2010 | <i>May the city hire a former employee on an as-needed contract basis?</i> |
| 10-02 | March 12, 2010 | <i>May a city board member appear before City Council to provide his personal opinion on a matter not pertaining to the board on which he serves?</i> |
| 10-03 | May 14, 2010 | <i>Does the Ethics Code prohibit city officials or employees from giving gifts to other city officials or employees?</i> |
| 10-04 | May 25, 2010 | <i>May a firm in which a member of a more-than-advisory board owns less than 10% interest subcontract on a contract with the city?</i> |
| 10-05 | July 13, 2010 | <i>May a city employee accept a half-price discount pass to a conference related to the city duties of the employee from a city vendor?</i> |
| 10-06 | August 18, 2010 | <i>May a city employee accept a door prize awarded at a conference from a city vendor that exceeds \$50 in value?</i> |
| 10-07 | September 20, 2010 | <i>May a city employee accept financial assistance from an organization that seeks action from the city from time to time?</i> |
| 10-08 | December 2, 2010 | <i>May a city employee submit an application to the city for a city program on behalf of himself or his family members? and May a city employee submit an application for a city program on behalf of another person or private sector organization as a volunteer?</i> |
| 10-09 | December 10, 2010 | <i>Is a campaign contribution a “contact” that violates the Ethics Code provision that prohibits those seeking contracts from contacting city officials or employees during the contract evaluation period?</i> |
| 10-10 | December 10, 2010 | <i>Is a campaign contribution from an attorney made before he or she was retained to assist in seeking a “high-profile” contract with the city a violation of the contribution “black out” provision of the city’s Municipal Campaign Finance Regulations?</i> |

Attachment C

Proposed Amendments to the Ethics Code – 2010

As an element of our annual report, the Ethics Review Board is charged to submit recommended changes to the Ethics Code and Campaign Finance Regulation. As noted in the Introduction section, many of the changes previously proposed by the Ethics Review Board were adopted by the City Council on June 25, 2009. Red-lined copies of the Code and Campaign Finance Regulation are attached (Attachments F & G) with all proposed changes/corrections, both administrative and substantive. Listed below are the more substantive changes for your consideration.

CODE OF ETHICS

SECTION 2-43 CONFLICTS OF INTEREST

(a) **General Rule.** To avoid the appearance and risk of impropriety, a city official or employee shall not take any official action that he or she knows is likely to affect the economic interests of:

(8) ~~An~~ **business** entity, ~~or nonprofit entity~~, for which the city official or employee serves as an officer or director or in any other policy making position, ~~other than nonprofit boards~~ to which the official or employee is appointed by the city council or city management as a ~~non~~-voting member to represent the best interests of the city, if the action is related to an item pertaining to the City, and the city official or employee would be involved in the negotiation, development or implementation of that item on behalf of the City; or

The ERB committee desires to remove the phrase “non-profit”, because it does not matter whether or not an entity is a “business entity” or a “nonprofit entity”, it is nevertheless an entity. The phrase “non-profit” tends to confuse the issue. Many of the boards created by the city to serve city purposes are not in fact non-profit organizations. The issue remains that a city official/employee can sit at both sides of the table, serving two interests, for either a business entity board or a nonprofit board. The city/employee board member shall be permitted to cast votes, but must recuse themselves on items where they would otherwise be sitting across the table from themselves, negotiating/developing/administering the item that they voted on as a board member.

SECTION 2-74 CONTENTS OF FINANCIAL DISCLOSURE REPORTS

Each initial or annual financial disclosure report shall disclose, on a form provided by the city, the following information:

- (a) ~~the~~**The** reporting party’s name;
- (b) ~~the name of any person related as parent, child, (except a child who is a minor), or spouse to the reporting party;~~ **The reporting party’s employer(s);**
- (c) ~~the name of any member of the reporting party’s household not disclosed under subsection (2) of this rule;~~ **The name of any entity (including self employment in the form of a sole**

proprietorship under a personal or assumed name) in which the reporting party or his or her spouse holds an economic interest;

~~(d) the name of any employer of any person disclosed under subsections (1) or (2) of this rule;~~
The name of any business which the reporting party knows is a partner, or a parent or subsidiary entity of an entity owned by, operated by, or managed by the reporting party or his or her spouse;

~~(e) the name of any business entity (including self employment in the form of a sole proprietorship under a personal or assumed name) in which the reporting party or his or her spouse holds an economic interest;~~

The name of any person or business entity from whom the reporting party or his or her spouse, directly or indirectly:

(1) Has received and not rejected an unsolicited offer of subsequent employment, or

(2) Has accepted an offer of subsequent employment which is binding or expected by the parties to be carried out.

~~(f) the name of any business which the reporting party knows is a partner, or a parent or subsidiary business entity, of an business entity owned, operated, or managed by the reporting party or his or her spouse;~~ The name of each nonprofit entity or other entity in which the reporting party serves as an officer or director, or in any other policy making position;

~~(g) the name of any person or business entity from whom the reporting party or his or her spouse, directly or indirectly:~~

~~1. has received and not rejected an unsolicited offer of subsequent employment; or~~

~~2. has accepted an offer of subsequent employment which is binding or expected by the parties to be carried out;~~

The name of each entity which has sought city business, has a current city contract or anticipates seeking city business in which the reporting party, the reporting party's parent, child or spouse is known to directly or indirectly own:

(1) Ten (10) percent or more of the voting stock or shares of the entity, or

(2) Ten (10) percent or more of the fair market value of the entity.

~~(h) the name of each nonprofit entity or other business entity in which the reporting party serves as an officer or director, or in any other policy making position;~~

The name of any entity of which the reporting party or the reporting party's parent, child or spouse, or entity disclosed under (g) is known to be:

(1) A subcontractor on a city contract;

(2) A partner; or

(3) A parent or subsidiary entity

- ~~(i) the name of each business entity which has sought city business, has a current city contract or anticipates seeking city business in which any individual listed in subsection (1) or (2) is known to directly or indirectly own:~~
- ~~1. ten (10) percent or more of the voting stock or shares of the business entity, or~~
 - ~~2. ten (10) percent or more of the fair market value of the business entity;~~

~~The name of each source of income, other than dividends or interest, amounting to more than five thousand dollars (\$5000) received during the reporting period by the reporting party or his or her spouse, unless the source has been disclosed under (b) through (h);~~

- ~~(i) the name of any business entity of which any individual or entity disclosed under subsections (1) or (9) is known to be:~~
- ~~1. a subcontractor on a city contract;~~
 - ~~2. a partner; or~~
 - ~~3. a parent or subsidiary business entity.~~

~~Real property not used as a legal residence. The identification by street address, or legal or lot-and-block description, of all real property located in the State of Texas in which the reporting party or his or her spouse has a leasehold interest, a contractual right to purchase, or an interest as: Fee Simple owner; Beneficial Owner; Partnership Owner; Joint Owner with an individual or corporation; or owner of more than twenty-five (25) percent of a corporation that has title to real property.~~

- ~~(k) the name of each source of income, other than dividends or interest, amounting to more than five thousand dollars (\$5000) received during the reporting period by the reporting party or his or her spouse, unless that source has been disclosed under subsections (1) through (10) of this rule;~~

~~The name of persons or entities to whom the reporting party or spouse owes an unsecured debt of more than five thousand dollars (\$5000), except debts for:~~

- ~~(1) Money borrowed from a family member from his or her own resources; and~~
- ~~(2) Revolving charge accounts.~~

- ~~(l) the identification by street address, or legal or lot-and-block description, of all real property located in the state in which the reporting party or his or her spouse has a leasehold interest, a contractual right to purchase, or an interest as: fee simple owner; beneficial owner; partnership owner; joint owner with an individual or corporation; or owner of more than twenty-five (25) percent of a corporation that has title to real property. There is no requirement to list any property:~~
- ~~1. used as a personal residence of a peace officer;~~
 - ~~2. over which the reporting party has no decision power concerning acquisitions or sale; or~~
 - ~~3. held through a real estate investment trust, mutual fund, or similar entity, unless the reporting party or his or her spouse participates in the management thereof;~~

The name of each person, entity, or other organization from whom the reporting party, or his or her spouse, received a gift with an estimated fair market value in excess of one hundred dollars (\$100) during the reporting period and the estimated fair market value of each gift.

- (m)** ~~the name of persons or entities to whom the reporting party or spouse owes an unsecured debt of more than five thousand dollars (\$5,000.00), other than debts for:~~
- ~~1. money borrowed from a family member from his or her own resources; and~~
 - ~~2. revolving charge accounts.~~

The name of any person related as parent, child, or spouse to the reporting party and his or her employer;

- (n)** ~~the name of each person, business entity, or other organization from whom the reporting party, or his or her spouse, received a gift with an estimated fair market value in excess of one hundred dollars (\$100) during the reporting period and the estimated fair market value of each gift. Excluded from this requirement are:~~
- ~~1. lawful campaign contributions which are reported as required by state statute or local ordinance;~~
 - ~~2. gifts received from family members within the second degree of affinity or consanguinity;~~
 - ~~3. gifts from an individual based on personal friendship who during the preceding three calendar years:
 - ~~(a) has not done or sought to do business with the city;~~
 - ~~(b) has not sought city action on any issue before the city council or any city board or commission;~~
 - ~~(c) is not associated with any business or entity that has done or sought to do business with the city; and~~
 - ~~(d) is not associated with any business or entity that has sought city action on any issue before the city council or a city board or commission.~~~~
 - ~~4. gifts received among and between fellow city employees and city officials;~~
 - ~~5. admission to events in which the reporting party participated in connection with official duties; and~~
 - ~~6. payment of or reimbursement of travel and accommodations expenses accepted in connection with official duties which have been reported under section 2-76 (Travel Reporting Requirements); payment for or reimbursement of expenses for travel in excess of authorized rates under city policy are gifts subject to the reporting requirements under this section.~~

The name of any member of the reporting party's household not disclosed in (m) above;

- (o)** Legal residence: by street address, or legal or lot-and-block description, of all real property used by the reporting party as a legal residence located in the State of Texas in which the reporting party or his or her spouse has a leasehold interest, a contractual right to purchase, or an interest as: fee simple owner; beneficial

owner; partnership owner; joint owner with an individual or corporation; or owner of more than twenty-five (25) percent of a corporation that has title to real property.

The complete rewrite of this section reflects the City's recent implementation of an on-line Financial Disclosure reporting capability and insures that the Code requirements and the on-line instructions match.

CAMPAIGN FINANCE REGULATION

No substantive changes proposed; minor administrative changes are shown on red-lined copy attached.

Attachment D

**Registered Lobbyists as of December 10, 2010
City of San Antonio**

ALLEN BOONE HUMPHRIES ROBINSON, LLP.

3200 SOUTHWEST FREEWAY, SUITE 2600
HOUSTON TX 77207-
Telephone: (713) 860-6408
Nature of Business: Law Firm
Registered: 2/14/2005

GREER PAGAN
ALLEN BOONE HUMPHRIES ROBINSON, LLP
3200 SOUTHWEST FREEWAY, SUITE 2600
HOUSTON TX 77027
Telephone: 713-860-6408
Nature of Business: Agent Of The Registrant
Registered: 2/14/2005
Agent of ALLEN BOONE HUMPHRIES ROBINSON, LLP.

STEPHEN ROBINSON
ALLEN BOONE HUMPHRIES ROBINSON, LLP
3200 SOUTHWEST FREEWAY, SUITE 2600
HOUSTON TX 77027
Telephone: 713-860-6408
Nature of Business: Agent Of The Registrant
Registered: 2/14/2005
Agent of ALLEN BOONE HUMPHRIES ROBINSON, LLP

AT&T INC.

208 S. AKARD
DALLAS TX 75202-
Telephone: (210) 351-2686
Nature of Business: TELECOMMUNICATIONS
Registered: 10/25/2010

RENEE FLORES
208 S. AKARD
DALLAS TX 75202
Telephone: 210-351-2686
Nature of Business: Telecommunications
Registered: 10/25/2010
Agent of AT&T INC.

HOWARD PEAK
208 S. AKARD
DALLAS TX 75202
Telephone: 210-351-2686
Nature of Business: Telecommunications
Registered: 7/8/2010
Agent of AT&T INC

BALTAZAR R. SERNA, JR.

120 VILLITA
SAN ANTONIO TX 78205-
Telephone: (210) 225-6700
Nature of Business: Attorney at Law
Registered: 1/10/1999

BOBBY PEREZ

600 NAVARRO SUITE 500
SAN ANTONIO TX 78205-
Telephone: (210) 581-5580
Nature of Business: ATTORNEYS AT LAW
Registered: 6/1/2005

Attachment D (Cont.)

BROWN & ORTIZ , P.C.

112 E. PECAN, SUITE 1360
SAN ANTONIO TX 78205-
Telephone: (210) 299-3704
Nature of Business: LAW FIRM
Registered: 11/18/2004

KENNETH BROWN
112 PECAN, STE. 1360
SAN ANTONIO TX 78205
Telephone: (210) 299-3704
Nature of Business: Agent
Registered: 2/10/2004
Agent of BROWN & ORTIZ , P.C.

PATRICK CHRISTENSEN
112 PECAN, STE. 1360
SAN ANTONIO TX 78205
Telephone: (210) 299-3704
Nature of Business: Agent
Registered: 8/15/2005
Agent of BROWN & ORTIZ , P.C.

JAMES GRIFFIN
112 PECAN, STE. 1360
SAN ANTONIO TX 78205
Telephone: (210) 299-3704
Nature of Business: Agent
Registered: 1/16/2008
Agent of BROWN & ORTIZ , P.C.

PAUL JUAREZ
112 PECAN, STE. 1360
SAN ANTONIO TX 78205
Telephone: (210) 299-3704
Nature of Business: Agent
Registered: 1/17/2006
Agent of BROWN & ORTIZ , P.C.

DANIEL ORTIZ
112 PECAN, STE. 1360
SAN ANTONIO TX 78205
Telephone: (210) 299-3704
Nature of Business: Agent
Registered: 11/18/2004
Agent of BROWN & ORTIZ , P.C.

BRUCE TODD PUBLIC AFFAIRS

823 CONGRESS AVE., SUITE 1505
AUSTIN TX
Telephone: (512) 439-0562
Nature of Business: PUBLIC AND GOVERNMENTAL MANAGEMENT SERVICES
Registered: 11/4/2010

BRUCE TODD
BRUCE TODD PUBLIC AFFAIRS
823 CONGRESS AVE., SUITE 1505
AUSTIN TX 78701
Telephone: 512-439-0562
Nature of Business:
Registered:
Agent of BRUCE TODD PUBLIC AFFAIRS

Attachment D (Cont.)

DRENNER & GOLDEN STUART WOLFF, LLP

301 CONGRESS AVENUE
AUSTIN TX 78701-
Telephone: (210) 745-3727
Nature of Business: REAL ESTATE DEVELOPMENT
Registered: 1/19/2006

JOHN JACKS
300 CPMVEMT SIOTE 2600
SAN ANTONIO TX 78205
Telephone: 210-745-3700
Nature of Business:
Registered: 7/2/2009
Agent of DRENNER & GOLDEN STUART WOLFF, LLP

GRANT JACOBSON
300 CONVENT SUITE 2600
SAN ANTONIO TX 78205
Telephone: 210-745-3700
Nature of Business:
Registered: 7/21/2010
Agent of DRENNER & GOLDEN STUART WOLFF, LLP

STEPHEN DRENNER
300 CONVENT SUITE 2650
SAN ANTONIO TX 78205
Telephone: 210-745-3700
Nature of Business:
Registered: 4/14/2008
Agent of DRENNER & GOLDEN STUART WOLFF, LLP

MICHELE HAUSSMANN
300 CONVENT SUITE 2650
SAN ANTONIO TX 78205
Telephone: 210-745-3700
Nature of Business:
Registered: 4/14/2008
Agent of DRENNER & GOLDEN STUART WOLFF, LLP

EARL & ASSOCIATES, P.C.

15303 HUEBNER ROAD, BILG. 15
SAN ANTONIO TX 78248-
Telephone: (210) 222-1500
Nature of Business: ATTORNEY AT LAW
Registered: 1/17/1999

DAVID I. EARL
EARL & ASSOCIATES, A PROFESSIONAL CORP.
15303 HUEBNER ROAD, BILG. 15
SAN ANTONIO TX 78248
Telephone: (210) 222-1500
Nature of Business: Agent To The Registrant
Registered: 1/22/2004
Agent of EARL & ASSOCIATES, P.C.

HABIB ERKAN
EARL & ASSOCIATES, A PROFESSIONAL CORP.
15303 HUEBNER ROAD, BILG. 15
SAN ANTONIO TX 78248
Telephone: (210) 222-1500
Nature of Business: Agent To The Registrant
Registered: 1/22/2004
Agent of EARL & ASSOCIATES, P.C.

Attachment D (Cont.)

KAYE TILLEY
EARL & ASSOCIATES, A PROFESSIONAL CORP.
15303 HUEBNER ROAD, BILG. 15
SAN ANTONIO TX 78248
Telephone: (210) 222-1500
Nature of Business: Agent To The Registrant
Registered: 1/22/2004
Agent of EARL & ASSOCIATES, P.C.

GREG YOUNGS
EARL & ASSOCIATES, A PROFESSIONAL CORP.
15303 HUEBNER ROAD, BILG. 15
SAN ANTONIO TX 78248
Telephone: (210) 222-1500
Nature of Business: Agent To The Registrant
Registered: 7/16/2007
Agent of EARL & ASSOCIATES, P.C.

FULBRIGHT & JAWORSKI, L.L.P.

300 CONVENT, SUITE #2200
SAN ANTONIO TX 78205-
Telephone: (210) 270-7142
Nature of Business: ATTORNEY
Registered: 2/16/1999

JANE MACON
300 CONVENT STREET, SUITE 2200
SAN ANTONIO TX 78205
Telephone: (210)224-5575
Nature of Business: Lobbyist
Registered: 7/26/2004
Agent of FULBRIGHT & JAWORSKI, L.L.P.

JAMES PLUMMER
300 CONVENT STREET, SUITE 2200
SAN ANTONIO TX 78205
Telephone: (210)224-5575
Nature of Business: Lobbyist
Registered: 7/26/2004
Agent of FULBRIGHT & JAWORSKI, L.L.P.

JAMES SUMMERS
300 CONVENT STREET, SUITE 2200
SAN ANTONIO TX 78205
Telephone: (210)224-5575
Nature of Business: Lobbyist
Registered: 2/1/2006
Agent of FULBRIGHT & JAWORSKI, L.L.P.

JOE A. GARCIA

919 CONGRESS AVENUE, SUITE 1500
AUSTIN TX 78701-
Telephone: (512) 476-0616
Nature of Business: PUBLIC AFFAIRS CONSULTING
Registered: 8/17/2010

JOE GARCIA
919 CONGRESS AVENUE, SUITE 1500
AUSTIN TX 78701
Telephone: 5124760616
Nature of Business: Public Affairs Consulting
Registered: 8/17/2010
Agent of JOE A. GARCIA

Attachment D (Cont.)

HARRY JEWETT ASSOCIATES

307 W. RHAPSODY DR.
SAN ANTONIO TX 78216-3109
Telephone: (210) 737-3417
Nature of Business: CIVIL ENGINEERING; FIELD SURVEYING; ARCHITECTURE; PLANNING; LAND DEVELOPMENT
Registered: 4/3/2008

LAWRENCE GILL
307 W. RHAPSODY DR.
SAN ANTONIO TX 78216-3109
Telephone: 210-737-3417
Nature of Business:
Registered: 4/3/2008
Agent of HARRY JEWETT ASSOCIATES

NORMA MORALES
307 W. RHAPSODY DR.
SAN ANTONIO TX 78216-3109
Telephone: 210-737-3417
Nature of Business:
Registered: 4/3/2008
Agent of HARRY JEWETT ASSOCIATES

M. HARUNOR RASHID
307 W. RHAPSODY DR.
SAN ANTONIO TX 78216-3109
Telephone: 210-737-3417
Nature of Business:
Registered: 4/3/2008
Agent of HARRY JEWETT ASSOCIATES

JOHN T. MONTFORD

1 BUCKINGHAM COURT
SAN ANTONIO TX 78257-
Telephone: (210) 687-1800
Nature of Business: TELECOMMUNICATIONS
Registered: 5/2/2009

KAUFMAN & KILLEN, INC.

100 W. HOUSTON, SUITE 1250
SAN ANTONIO TX 78205-
Telephone: (210) 227-2000
Nature of Business: LAW FIRM
Registered: 2/11/1999

Attachment D (Cont.)

WILLIAM T. KAUFMAN
KAUFMAN & ASSOCIATES, INC.
100 W. HOUSTON, SUITE 1250
SAN ANTONIO TX 78205-
Telephone: (210) 227-2000
Nature of Business: Agent Of The Registrant
Registered: 2/2/2004
Agent of KAUFMAN & KILLEN, INC.

ROB KILLEN
KAUFMAN & ASSOCIATES, INC.
100 W. HOUSTON, SUITE 1250
SAN ANTONIO TX 78205
Telephone: (210) 227-2000
Nature of Business: Agent Of The Registrant
Registered:
Agent of KAUFMAN & KILLEN, INC.

ASHLEY FARRIMOND
KAUFMAN & ASSOCIATES, INC
100 W. HOUSTON, SUITE 1250
SAN ANTONIO TX 78205
Telephone: (210) 227-2000
Nature of Business: Agent Of The Registrant
Registered: 9/15/2010
Agent of KAUFMAN & KILLEN, INC

M. EDWARD LOPEZ

PO BOX 691262
SAN ANTONIO TX 78269-
Telephone: (210) 697-8658
Nature of Business: LEGISLATIVE/REGULATORY CONSULTING
Registered: 11/19/2007

MANUEL PELAEZ-PRADA

100 N.E. LOOP 410, #1500
SAN ANTONIO TX 78216-
Telephone: (210) 340-9800
Nature of Business: ATTORNEY
Registered: 5/11/2010

MANUEL PELAEZ-PRADA
100 N.E. LOOP 410, #1500
SAN ANTIONIO TX 78216
Telephone: 210-340-9800
Nature of Business: Attorney
Registered: 5/11/2010

Agent of MANUEL PELAEZ-PRADA

MARTIN & DROUGHT, P.C.

300 CONVENT ST., #2500
SAN ANTONIO TX 78205-
Telephone: (210) 220-1339
Nature of Business: LEGAL SERVICES
Registered: 1/6/2003

FRANK BURNEY
MARTIN & DROUGHT, P.C.
300 CONVENT, 25TH FLOOR
SAN ANTONIO TX 78205-
Telephone: (210) 220-1339
Nature of Business: Agent Of The Registrant
Registered: 1/1/2004
Agent of MARTIN & DROUGHT, P.C.

Attachment D (Cont.)

PAPE-DAWSON ENGINEERS, INC.

555 EAST RAMSEY
SAN ANTONIO TX 78216-
Telephone: (210) 375-9000
Nature of Business: CIVIL ENGINEERING
Registered: 4/5/2002

GENE DAWSON
SAN ANTONIO TX 78216
Telephone: 210-375-9000
Nature of Business:
Registered: 3/30/2009
Agent of PAPE-DAWSON ENGINEERS, INC

SAM DAWSON
PAPE-DAWSON ENGINEERS, INC.
555 EAST RAMSEY
SAN ANTONIO TX 78216
Telephone: (210) 375-9000
Nature of Business: Agent Of The Registrant(lobbyist)
Registered: 1/11/2005
Agent of PAPE-DAWSON ENGINEERS, INC.

DENNIS RION
PAPE-DAWSON ENGINEERS, INC.
555 EAST RAMSEY
SAN ANTONIO TX 78216
Telephone: (210) 375-9000
Nature of Business: Agent Of The Registrant(lobbyist)
Registered: 1/11/2005
Agent of PAPE-DAWSON ENGINEERS, INC.

PULLMAN CAPPUCCIO PULLEN & BENSON, LLP

2161 NW MILITARY HIGHWAY, SUITE 400
SAN ANTONIO TX 78213-
Telephone: (210) 222-9494
Nature of Business: ATTORNEYS & COUNSELORS
Registered: 1/31/2007

BUCK BENSON
PULLMAN, BRESNAHAN, PULLEN & CAPPUCCIO, LLP
Telephone:
Nature of Business:
Registered: 1/31/2007
Agent of PULLMAN CAPPUCCIO PULLEN & BENSON, LLP

REID E. MEYERS

11118 WURZBACH RD., STE. 207
SAN ANTONIO TX 78230-
Telephone: (210) 877-2233
Nature of Business:
Registered: 9/27/2010

REID MEYERS
11118 WURZBACH RD., STE. 207
SAN ANTONIO TX 78230
Telephone: 210-877-2233
Nature of Business: Attorney
Registered: 9/27/2010
Agent of REID E. MEYERS

Attachment D (Cont.)

SHANNON PEREZ

2607 CHESTNUT BEND
SAN ANTONIO TX 78232-
Telephone: (210) 621-7628
Nature of Business: SOLE PROPRIETOR - CONSULTANT
Registered: 9/3/2010
PEREZ SHANNON

2607 CHESTNUT BEND
SAN ANTONIO TX 78232
Telephone: 210-621-7628
Nature of Business: Sole Proprietor-consultant
Registered: 9/3/2010
Agent of SHANNON PEREZ

THE FRANCIS LAW FIRM

112 E. PECAN ST., SUITE 200
SAN ANTONIO TX 78205-
Telephone: (210) 222-1100
Nature of Business: ATTORNEYS
BEBB FRANCIS
112 E. PECAN ST. SUITE 200
SAN ANTONIO TX 78205
Telephone: (210) 222-1100
Nature of Business:
Registered: 3/26/1999
Agent of THE FRANCIS LAW FIRM, P.C.

THOMAS G. WENDORF

2350 KERNER BLVD., STE. 250
SAN RAFAEL CA 94901-
Telephone: (415) 389-6800
Nature of Business: ARCHITECTURE AND ENGINEERING
Registered: 7/1/2010
THOMAS WENDORF
2350 KERNER BLVD., STE. 250
SAN RAFAEL CA 94901
Telephone: 415-389-6800
Nature of Business: Architecture And Engineering
Registered: 7/1/2010
Agent of THOMAS G. WENDORF

TUGGY ROSENTHAL PAUERSTEIN SANDOLOSKI AGATHER LLP

755 EAST MULBERRY, SUITE 200
SAN ANTONIO TX 78212-
Telephone: (210) 225-5000
Nature of Business: ATTORNEYS AT LAW
BLAKELY FERNANDEZ
TUGGY ROSENTHAL PAUERSTEIN SANDOLOSKI AGATHER LLP
755 EAST MULBERRY, SUITE 200
SAN ANTONIO TX 78212
Telephone: (210) 225-5000
Nature of Business: Attorney At Law
Registered: 3/19/2008
Agent of TUGGY ROSENTHAL PAUERSTEIN SANDOLOSKI AGATHER LLP

Attachment D (Cont.)

JONATHAN STARR
755 E. MULBERRY, SUITE 200
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Telephone: 210-225-5000
Nature of Business: Law Firm
Registered: 8/26/2010
Agent of TUGGEY ROSENTHAL PAUERSTEIN SANDOLOSKI AGATHER LLP

TIM TUGGEY
TUGGY ROSENTHAL PAUERSTEIN SANDOLOSKI AGATHER LLP
755 EAST MULBERRY, SUITE 200
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Nature of Business: Attorney At Law
Registered: 3/19/2008
Agent of TUGGY ROSENTHAL PAUERSTEIN SANDOLOSKI AGATHER LLP

ZACHRY INC.

P. O. BOX 240130
SAN ANTONIO TX 78224-0130
Telephone: (210) 258-2662
Nature of Business: CONSTRUCTION SERVICES AND PROJECT DEVELOPMENT
Registered: 4/30/1999

TARA SNOWDEN
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Telephone: (210) 258-2662
Nature of Business: Agent Of The Registrant
Registered: 1/15/2009
Agent of ZACHRY INC.

VICTORIA WADDY
ZACHRY, INC.
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Nature of Business: Agent To The Registrant
Registered: 1/15/2002
Agent of ZACHRY INC.

Attachment E

2010 Ethics & Campaign Finance Training

Twice monthly: Mandatory New Employee Orientation Ethics Presentation

Mandatory Ethics Refresher Training Presentation 45 sessions 3-10-10 to 6-30-10

| Name of Training | Hrs | General Category | Date |
|---|------------|---|-------------------|
| Lobbyist Workshop | 2.0 | Ethics, lobbyist regulations for lobbyists | 11-15-10 |
| St. Mary's University Political Science Class | 1.0 | Campaign Finance Reform | 10-26-10 |
| Candidate Workshop | 2.0 | Ethics, Campaign Finance Election Code for Candidates | 10-25-10 |
| Municipal Leadership Institute | 3.0 | Ethics, Open Meetings, Parliamentary Procedure | 9-21-10 |
| Ethics Review Board Retreat | 1.5 | Ethics Code, Campaign Finance Code | 8-30-10 |
| Hispanic Chamber of Commerce Ethics Workshop | 1.0 | Ethics in government | 8-13-10 |
| SABA- Real Estate Attorneys | 1.0 | Ethics Code, Campaign Finance Code Update | 8-12-10 |
| Ethics Follies | 3.0 | Professional Ethics | 7-26-10 |
| AIA (Architects) Ethics Workshop | 1.0 | Ethics in government | 4-30-10 |
| The McGrinchy Code: Nixon | 1.0 | CLE – 901196077 | 3-12-10 |
| Gifts, Trips and Conflicts Municipal Leadership Institute (CAO, Clerks) | 3.0 | Ethics, Open Meetings, Parliamentary Procedure | 8-13-10 3-1-10 |
| Boards and Commissions Liaisons (CAO, Clerks) | 1.5 | Ethics, Open Meetings, (two sessions) | 2-23-10 |
| Open Meetings and Ethics Planning Commission Workshop | 1.5 | Open Meetings, Ethics | 1-23-10 |
| Boards and Commissions Liaisons Financial Disclosure Workshop | 1.5 | Ethics, financial disclosure | 1-12-10 |